

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Yoshiaki TANAKA et al.

Serial No.

Art Unit:

Filed:

Examiner:

Divisional of 09/195,100  
filed November 18, 1998

Atty Docket: 0102/0237

For: RECORDING MEDIUM AND  
SIGNAL PROCESSING  
APPARATUS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR § 1.56, the undersigned submits herewith Form PTO-1449 having documents listed therein for consideration by the Examiner in charge of the above-identified patent application.

These documents were made of record in the parent application noted above. If the examiner wishes that copies of these documents be submitted to the examiner, please so inform the undersigned.

Respectfully submitted,



Louis Woo, Reg. No. 31,730  
Law Offices of Louis Woo  
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Alexandria, Virginia 22314  
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Date:

Jan. 30 2004

## FORM PTO-1449

**INFORMATION DISCLOSURE CITATION**Atty Docket  
0102/0237

Serial No.

Applicant  
Yoshiaki TANAKA et al.

Filing Date

Group Art Unit

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	EP 0892404	01/20/99	EPC			
	AM	EP 0856849	08/05/98	EPC			
	AN	WO 9715924	05/01/97	PCT			
	AO	EP 0867877	09/30/98	EPC			
	AP	EP 0910082	04/21/99	EPC			
	AR	EP 0797197	09/24/97	EPC			

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AS		"Outline of DVD Standard" by M. Moriya et al; National Technical Report, Vol. 43, No. 3, June 1997; pp. 223-229
	AT		"DVD-Audio Format" by E. Funasaka; An Audio Engineering Society Preprint, September 1997; pp. 1-8
	AU		Von Logneysen, Ulrich: "DVD-Audio: PCM GEGEN BITSTREAM-RECORDING" Funkschau, Franzis-Verlag K.D. Munchen, DE, No. 6, 28 February 1997, pp. 56-59, XP000688422 ISSN: 0016-2841

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
 Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to Applicant.

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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	EP 0855715	07/29/98	EPC			
	AM	EP 0795870	09/17/97	EPC			
	AN	JP 09120645	05/06/97	Japan			
	AO	JP 09120647	05/06/97	Japan			
	AR	EP 0714098	05/29/95	EPC			
	AR	EP 0677848	10/18/95	EPC			
	AS	EP 0817195	01/07/98	EPC			

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AT		
	AU		

Examiner

Date Considered

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